



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/804,851	03/13/2001	Sarat C. Sankaran	38134.0100

CONFIRMATION NO. 2552

FORMALITIES LETTER



OC000000005997589

SNELL & WILMER L.L.P.
One Arizona Center
400 East Van Buren
Phoenix, AZ 85004-0001

Date Mailed: 04/23/2001

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- The Claim(s) commencing on a separate sheet (37 CFR 1.75(h)).
- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



HA 06-25-01

orney Docket No. 60025-0011

0300
#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Group Art Unit No.: 2164

Sarat C. Sankaran, et al.

Examiner: NYA

Serial No.: 09/804,851

Filed: March 13, 2001

For: INTERACTIVE METHOD AND APPARATUS
FOR REAL-TIME FINANCIAL PLANNING

Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

Sir:

In response to the Notice to File Corrected Application Papers dated April 23, 2001,
which sets forth a response date of June 23, 2001, enclosed are the following:

1. 16 sheets of substitute drawings in compliance with 37 CFR 1.84.
2. Claims (as filed) on a separate sheet (37 CFR 1.75(h)).
3. A photocopy of the Notice to File Corrected Application Papers dated 4/23/01.
4. Return receipt postcard.


The Commissioner is hereby authorized to charge payment of any fees required
associated with this communication or credit any overpayment to Deposit Account No. 50-1302.

Respectfully submitted,

HICKMAN PALERMO TRUONG & BECKER LLP

Dated: June 22, 2001

1600 Willow Street
San Jose, California 95125-5106
Telephone: (408) 414-1080
Facsimile: (408) 414-1076


Craig G. Holmes
Reg. No. 44,770

EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number EL734779346US Date of Deposit June 22, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Tirena-SM
(Typed or printed name of person mailing paper or fee)

Tirena-SM
(Signature of person mailing paper or fee)